

75-2505

DD/A 115-5263
Executive Registry
15-11603
3 NOV 1975

MEMORANDUM FOR: Director of Central Intelligence
VIA : Deputy Director for Administration JFB
FROM : Director of Personnel
SUBJECT : Financial Management Improvement Award
REFERENCE : Letter to Heads of Departments and Agencies,
and Public Interest Groups, from the Admin-
istrator, GSA, dtd 23 Sep 75 (attached) 8 NOV 1975

1. Action Requested: That you sign the attached letter to Mr. Donald Kull, Executive Director, Joint Financial Management Improvement Program, nominating Mr. Thomas B. Yale, Director of Finance, for the Financial Management Improvement Award.

2. Background: Referent invited the Agency to submit nominations for the Financial Management Improvement Award which recognizes exceptional accomplishment in the application of effective financial management by Government employees. Mr. Yale was the Agency's first nominee for this award when he was nominated last year. He was not one of the two winners selected.

3. Staff Position: The Deputy Directors were asked to submit nominations for the various public service awards in April of this year. The Directorate for Administration submitted Mr. Yale's name for the Financial Management Improvement Award and his selection was approved by you during this review. The attached nominating document was prepared by Office of Finance staff members and has been concurred in by representatives of the Cover and Commercial Staff and the Office of Security.

4. Recommendation: That you sign the attached letter to Mr. Kull nominating Mr. Thomas B. Yale for the Financial Management Improvement Award.

STATINTL

F. W. M. Janney
Director of Personnel

Atts

75-3505
DPA 10-5203

3 NOV 67

MEMORANDUM FOR: Director of Central Intelligence
VIA : Deputy Director for Administration
FROM : Director of Personnel
SUBJECT : Financial Management Improvement Award
REFERENCE : Letter to Heads of Departments and Agencies,
and Public Interest Groups, from the Admin-
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4. Recommendation: That you sign the attached letter to Mr. Kull nominating Mr. Thomas B. Yale for the Financial Management Improvement Award.

Loyd M. Janney

R. W. R. Janney
Director of Personnel

Atts

Distribution:

- 0 - Return to D/Pers
- 1 - DCI
- 1 - DDCI
- 1 - ER
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- 1 - D/Pers**
- 2 - C/BSD/OP**

OP/BSR/[REDACTED]:gec (31 Oct 75)

STATINTL

Executive Registry
75-11603/A

8 NOV 1975

Mr. Donald Kull
Executive Director
Joint Financial Management
Improvement Program
Suite 705
666 - 11th Street, N. W.
Washington, D. C. 20001

Dear Mr. Kull:

In reply to Mr. Sampson's letter of 13 September 1975, I am pleased to nominate Mr. Thomas B. Yale for the Financial Management Improvement Award.

Mr. Yale was my nominee for this award in 1974 and his outstanding record of accomplishment during the ensuing year has served to underline his value to the Central Intelligence Agency and the Federal Government. As our Director of Finance, Mr. Yale has provided dynamic financial management leadership during a period of unprecedented challenge. The contributions he has made to the automation of financial systems and reports will benefit this organization for many years in the future.

Financial management has become one of the most significant factors in all levels of governmental administration and this awards program provides recognition for those who have had the finest records of achievement. I firmly believe that Mr. Yale has earned such an honor and highly recommend your favorable consideration.

Sincerely,

/s/ W. E. Colby

W. E. Colby
Director

Enclosures

Mr. Donald Kull
Executive Director
Joint Financial Management
Improvement Program
Suite 705
666 - 11th Street, N. W.
Washington, D. C. 20001

Page 2

Originator: (Signature) F. W. H. Jamney
Director of Personnel Date

Concur: /s/John E. Blake
Deputy Director Date
for Administration

Distribution:

Orig 4 5 - Adse
1 - DCI
1 - DDCI
1 - ER
2 - DDA
1 - D/Pers
1 - OPF
STATINTL ~~✓~~ - C/BSD (1 w/hold and
1 comeback for O/FIN)

OP/BSD/ [redacted] :sec (51 Oct 75)

MR. THOMAS B. YALE

Mr. Yale is the principal financial officer of the Central Intelligence Agency. As Director of Finance, he is responsible for all financial operations of the Agency; for the development, establishment, supervision and maintenance of accounting systems; and for the control of all assets and liabilities--highly specialized and very complex financial functions with worldwide application. Directing financial management in an intelligence organization involves unusual and unique responsibilities which demand not only wide knowledge of legal and technical complexities of Government finance, but also administrative imagination to cope with security considerations inherent in nearly every phase of financial management. Mr. Yale has met these challenges with great success.

Mr. Yale believes strongly that because of rapidly changing requirements for recording and reporting of financial data, financial managers must now constantly evaluate and update their present services. Recognizing the need for providing timely information to centralized and decentralized operating components, Mr. Yale provided the overall leadership in initiating development of a fully automated and integrated general accounting system. This system incorporates on-line input and query capabilities for the accounting and budget systems and will eliminate paperwork and duplicated paper handling facilities.

To provide senior officials with the information necessary to manage Agency resources effectively, Mr. Yale was instrumental in the design and development of a review system used by the Agency Comptroller and Management Committee in resource control and allocation. This system provides current status of all financial resources and their availability for reprogramming to new programs which has resulted in significant improvement in management effectiveness.

To provide the Agency's Finance Officers with advanced financial accounting and management techniques, Mr. Yale instituted a training program in Financial Management that

explores the state of the art as it relates to information science. The program introduces employees to information handling systems, analytic techniques and systems development concepts as they may be applied to budget, finance and related administrative management areas.

Mr. Yale's record is one of continual dynamic leadership, personal effort and devotion to efficient and effective financial management. His many accomplishments have contributed greatly to the management of the Agency's financial affairs.

STATINTL

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UNITED STATES OF AMERICA

Approved For Release 2001/07/14 : CIA-RDP84A00313R000508270018-0

WASHINGTON, DC 20405



DD/A 75-4581

SEP 23 1975

TO: THE HEADS OF DEPARTMENTS AND AGENCIES, AND PUBLIC
INTEREST GROUPS

SUBJECT: Joint Financial Management Improvement Program--
Financial Management Improvement Award

The annual Financial Management Improvement Award will be presented at a one-day conference planned for February 1976 in Washington, D.C. This is the only award sponsored by the Federal Government that is specifically directed to excellence in financial management. The award recognizes exceptional achievement in financial management in Federal, State, and local governments. Last year's award recipients were Mr. Bernard Lynn, Director, Defense Contract Audit Agency, and Mr. Martin Ives, Deputy Comptroller, State of New York.

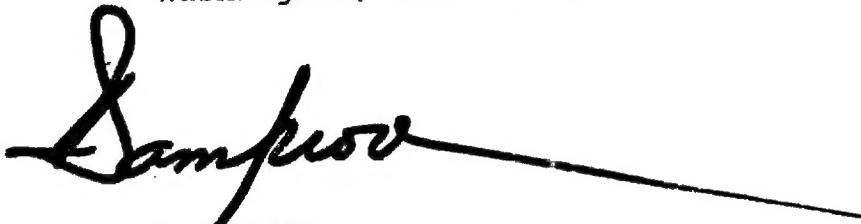
The Principals of the Joint Financial Management Improvement Program--the Secretary of the Treasury, the Director of the Office of Management and Budget, the Chairman of the Civil Service Commission, the Comptroller General, and I--administer the awards program.

Heads of Federal departments and agencies and officials of State and local government have the opportunity to nominate employees or groups of employees whose achievements represent outstanding contributions to improved government financial management. Both career and non-career employees are eligible. An employee need not be presently employed by the agency to be nominated, but must have been employed during fiscal year 1975. In evaluation of an individual's accomplishments, special consideration should be given to achievements in fiscal year 1975. Criteria and format for nominations are set forth in the enclosed brochure.

2

Nominations for these awards should be submitted not later than November 14, 1975. Six copies of each nomination in the prescribed format should be sent to:

Executive Director
Joint Financial Management Improvement Program
666 Eleventh Street, N.W. (Suite 705)
Washington, D.C. 20001

A handwritten signature in black ink, appearing to read "Sampson". The signature is fluid and cursive, with a long horizontal stroke extending from the end of the "n" towards the right.

Arthur F. Sampson
Administrator

Enclosure

FINANCIAL MANAGEMENT

IMPROVEMENT AWARD

- Formulation of a fully integrated program structure which provides for the systematic interpretation of output and performance data in terms of unit costs.
- Conduct of an agency-wide analysis of cash management operations resulting in significant improvements in control, reporting and the setting of requirement levels.

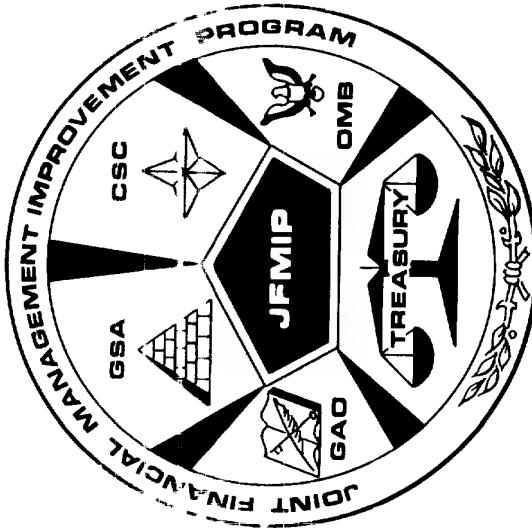
FORM OF NOMINATION • Nominations should be submitted from heads of each Federal department and independent agency or responsible officials from State and local governments. The following information should be submitted for each nomination:

Name, title, and grade; or identification of group
Business address and telephone number
Residence address and telephone number
Date and place of birth
Education and degrees (show names of schools)

This should be followed by a narrative description of the nominee's qualifications for the award, together with the agency or department head's personal evaluation and recommendation.

SPONSORSHIP

The Joint Financial Management Improvement Program (JFIMP) sponsors the awards and selects the recipients. The JFIMP was authorized by the Budget and Accounting Procedures Act of 1950. It is a joint and cooperative undertaking of the Office of Management and Budget, the General Accounting Office, the Treasury Department, the General Services Administration, and the Civil Service Commission, working in cooperation with each other and with each of the operating agencies. The overall objective of JFIMP is to improve and coordinate financial management policies and practices throughout the Government so that they will contribute significantly to the effective and efficient planning and operation of governmental programs.



**SPONSORED BY THE JOINT FINANCIAL
MANAGEMENT IMPROVEMENT PROGRAM**

FINANCIAL MANAGEMENT IMPROVEMENT AWARD

PURPOSE • The purpose of this award is to recognize Government employees who, through the practice of effective financial management, have achieved significant economies, efficiencies and improvements in Federal, State or local governments. Financial management is that part of total management which is concerned with the financial affairs of an organization.

TYPE OF AWARD • Awards will be made annually with appropriate ceremony and will consist of an engraved plaque.

ELIGIBILITY • Any Federal Government employee or group of employees whose competence and leadership have resulted in notably exceptional accomplishments in the application of effective financial management is eligible to be nominated for the award. In addition, State or local government employees who have had substantial involvement in Federal activities and have made a notably outstanding contribution to financial administration are eligible for the award.

BASIS FOR SELECTION • — For purposes of this award the broadest reasonable interpretation will be given to the term "financial management," which includes, but is not limited to, the areas of:

- Accounting
- Auditing
- Automatic Data Processing
- Budgeting
- Cash Management
- Control and Allocation of Resources
- Cost Reduction
- Financial Systems Development
- Management Analysis
- Planning
- Productivity Measurement and Improvement
- Reporting--Financial and Performance

Among the factors to be considered in evaluating nominations are the following:

1. Extent of dollar savings of the nominees' accomplishments(s).
2. Measurable improvement in financial management technology or methodology resulting from the nominees' accomplishment(s).

3. The extent of (g-term or lasting benefit of the nominees' accomplishment(s).
4. The impact of the nominees' accomplishment(s) on his or her office, agency, or Government as a whole.
5. The extent to which the nominees' accomplishment(s) would have been impossible or significantly lessened without his or her direct involvement.
6. The extent to which the nominees' accomplishment(s) occurred in the past fiscal year or was a continuation or carryover from prior years.

Consideration will be given to both general and specific accomplishments:

A. GENERAL ACCOMPLISHMENTS ● include outstanding administrative or technical ability or outstanding performance evidenced by the nominee, not necessarily connected with a specific accomplishment or project.

B. SPECIFIC ACCOMPLISHMENTS ● are those for which the nominee is primarily responsible and where the results can be measured in terms of improvement in service, a substantial savings or significant technological progress. Some examples of specific accomplishments are:

- Development of a financial system which furnishes full cost support for cost-based budgeting.
- Implementation of a unified financial management information and control system which results in significant improvement in total management effectiveness.
- Providing of the overall leadership in developing and implementing a major financial management training and improvement program relating to varying levels of responsibility and designed to meet both short and long-term agency objectives.
- Establishment of a comprehensive audit system based on improved cost savings and increased audit coverage.
- Development of productivity measurement methods using existing financial management systems.
- Development of equipment or methods which lead to productivity improvement.

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OFFICIAL ROUTING SLIP

TO	NAME AND ADDRESS	DATE	INITIALS
1	Deputy Director for Administration	7 NOV 1975	
2	7 D 18, Headquarters		
3	Director of Central Intelligence	11/8	mc/bip
4	7 E 12, Headquarters		
5			
6	Director of Personnel 5 E 58, Headquarters	11/10	bc
ACTION	DIRECT REPLY	PREPARE REPLY	
APPROVAL	DISPATCH	RECOMMENDATION	
COMMENT	FILE	RETURN	
CONCURRENCE	INFORMATION	SIGNATURE	

Remarks:

(7) D/Pers TSP #/14/75
The deadline for receipt of nominations is 14 November 1975. When the attached letter has been signed, please call office of D/Pers, x6825, who will make appropriate copies and deliver to Mr. Kull.

CBG

FOLD HERE TO RETURN TO SENDER

FROM: NAME, ADDRESS AND PHONE NO.

DATE

Director of Personnel
5 E 58, Hqs x6825 3 NOV 1975

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OFFICIAL ROUTING SLIP

TO	NAME AND ADDRESS	DATE	INITIALS
1	DD10Pers	29 SEP 1975	12
2	D1Pers	29 SEP 1975	T
3	DD1Pers SP	29 SEP 1975	Thy
4	Chief, B.S.D.		
5			
6			
ACTION	DIRECT REPLY	PREPARE REPLY	
APPROVAL	DISPATCH	RECOMMENDATION	
COMMENT	FILE	RETURN	
CONCURRENCE	INFORMATION	SIGNATURE	

Remarks:

Tom Yale is this year's
nominee.

D1Pers Suspense: 31 Oct. 1975

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FROM: NAME, ADDRESS AND PHONE NO.

DATE

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DDA

EXECUTIVE SECRETARIAT

Routing Slip

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4	DDS&T				
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7	DDO				
8	D/DCI/IC				
9	D/DCI/NIO				
10	GC				
11	LC				
12	IG				
13	Compt				
14	D/Pers				
15	D/S				
16	DTR				
17	Asst/DCI				
18	AO/DCI				
19	<i>OPers</i>				
20					
21					
22					
SUSPENSE					
Date					

Remarks:

*O P:
Your action, please!*

26 SEP 1975

Executive Secretary

STATINTL

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